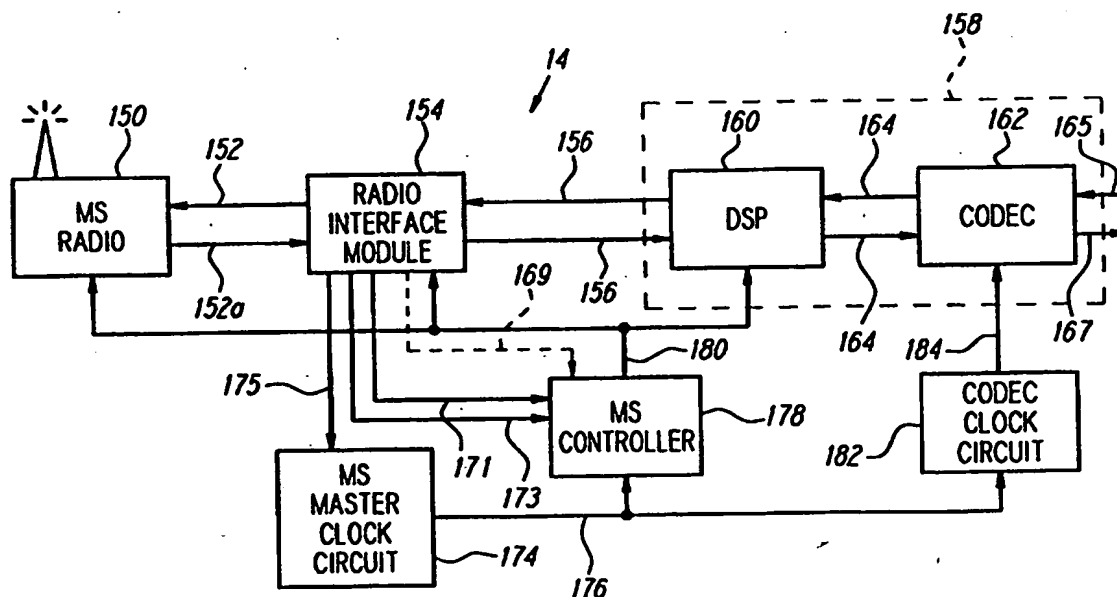




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶: H04Q	A2	(11) International Publication Number: WO 98/21897 (43) International Publication Date: 22 May 1998 (22.05.98)
(21) International Application Number: PCT/US97/20659 (22) International Filing Date: 13 November 1997 (13.11.97) (30) Priority Data: 08/749,105 14 November 1996 (14.11.96) US (71) Applicant: OMNIPOINT CORPORATION [US/US]; 1365 Garden of the Gods Road, Colorado Springs, CO 80907 (US). (72) Inventors: PETCH, Bryan, K.; 12720 Pommel Circle, Elbert, CO 80106 (US). LINDSAY, Charles, L.; 18379 Lazy Summer Way, Monument, CO 80132 (US). JENSEN, Ryan, N.; 17285 Shiloh Pines Drive, Monument, CO 80132 (US). (74) Agents: HEMMINGER, Steven, D. et al.; Lyon & Lyon LLP, Suite 4700, 633 West Fifth Street, Los Angeles, CA 90071-2066 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>Without international search report and to be republished upon receipt of that report.</i>

(54) Title: METHODS AND APPARATUS FOR SYNCHRONIZATION IN A WIRELESS NETWORK

**(57) Abstract**

As part of a preferred communication protocol, base stations which are synchronized to a PSTN of a wireless communication network, periodically transmit a preamble. A remote detects the preamble and, upon verification of the data contained in the base station transmission, sets its counter to an initialized state based on the received preamble. An early/late analysis of each subsequently received base station timing pulse is used to adjust both the mobile station timing and to adjust the output frequency of the mobile station master clock and codec clock to effectively maintain end to end synchronization with the respective base station and the PSTN throughout the duration of an established communication link.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

METHODS AND APPARATUS FOR SYNCHRONIZATION IN A WIRELESS NETWORK

Field of Inventions

5 The inventions herein pertain to the field of communication networks, including methods and apparatus for acquiring and maintaining synchronization in a wireless communication network.

Background

10 In a typical wireless communication network, one or more base stations are selectively positioned within respective, defined geographic areas or cells, and are used to transmit and receive communication signals to and from, respectively, one or more remote stations, (e.g., mobile or cellular telephone handsets), located within the respective cell. In
15 particular, the base stations act as both intermediary points by which a communication path may be periodically established and maintained with respective remote stations, as well as end points of a hierarchical stationary network, which also includes an overlay or backbone network, such as, e.g., a
20 public switched telephone network ("PSTN").

A selected communication protocol defines a method in which the various remote stations can communicate with one or more base stations of the communication network, e.g., in order to place and receive telephone calls. The communication
25 protocol will preferably provide air-channel agility between respective base stations and remote stations, while also providing a secure voice or data link. A fundamental concern of the selected communication protocol for a network is the ability of the remote stations to communicate with the base
30 stations in a simple, flexible and rapid manner, e.g., so that a remote station is not required to wait to establish a communication path, and/or so that a hand-off of an active call between base stations in a mobile network is transparent

to a respective remote station. In this respect, the ability to acquire and maintain synchronization between a base station and a mobile station is an important consideration. Further, network-wide synchronization should be established and maintained for optimal operation of a mobile communication network, e.g., to minimize interference problems otherwise caused by non-synchronized base and/or mobile station transmissions in the same, or adjacent, cell location(s).

For example, in U.S. Patent Application Serial No. 08/284,053, assigned to the assignee of the present application and which is hereby fully incorporated herein by reference, a protocol for a wireless communication network is described for use with a wireless communication network, wherein each base station transmits over a set of time-division air channels, or time slots, by transmitting in time slots in sequence, referred to herein as an over the air loop. Each base station time slot polling transmission is followed by a first gap (or "guard time"), a remote station transmission (if a remote station attempts to communicate), and a second (guard time) gap, before the base station transmits over the next time slot. A remote station receiving a base station polling transmission in an (unoccupied) time slot, may then transmit information to the base station over that respective channel, e.g., to establish a communication link with that base station via the respective polling path. Each base station may thereby maintain communication with as many remote stations as there are available time slots in its over the air loop.

In accordance with this protocol, handoffs between base stations are preferably initiated by the respective mobile station, which monitors available time slots from the same and competing base stations during unused time slots. A mobile station may handoff within the same over the air loop to establish communication in a new time slot of the same base station, or may handoff in such a manner to establish communication within a over the air loop of a different base station. In the latter case, a "base station controller" may

assist in transferring the call from one base station to another.

Successful operation of the aforescribed protocol depends on the stability of respective internal base station and mobile station transmission timing. In particular, in order for respective base station and mobile station transmissions to stay locked to each other within the respective time slot interval(s), the mobile station transmission timing must be synchronized with the base station transmission timing. For example, in U.S. Patent No. 4,494,211, issued to Schwartz, a synchronization system for a "master/slave" satellite pair is described whereby each satellite of the pair transmits timing signals synchronized to its own respective clock, receives the timing signals from the other satellite and independently measures the difference between the transmission and reception of the respective timing signals. The time difference measured by each satellite is then transmitted to the other, wherein each utilizes the respective time difference measurements to calculate the asynchronism between the respective clocks and the range between the satellites. Based on those calculations, the "slave" satellite clock is then adjusted so that the calculated asynchronism is reduced to within an acceptable difference. As can be seen, the Schwartz synchronization system is both complex and requires periodic signaling bandwidth in both directions dedicated to the transmission of clock signals and respective measurement calculations.

Thus, it would be advantageous to provide a method and network architecture for the simple and rapid acquisition and maintenance of synchronization between respective base stations and mobile stations of a mobile communication network, without adversely impacting over-the-air bandwidth or the robustness of an established communication path. It would be further advantageous to provide the capability in a mobile communication network for network-wide synchronization, including both "intra-network" synchronization, i.e., between

respective elements of the mobile network itself, and "inter-network" synchronization, i.e., between the mobile network and a respective linking overlay network.

Summary of the Inventions

5 The present inventions provide methods and corresponding network architectures for acquiring and maintaining synchronization between discrete elements of a communication network and between a communication network and a linking overlay network, such as, e.g., a public switched telephone
10 network ("PSTN") and achieving serial data synchronization from the overlay network to the serial audio/data interface in the remote station. As part of a preferred communication protocol, base stations which are synchronized to a PSTN of a wireless communication network periodically transmits a
15 preamble. A remote detects the preamble and sets its counter to an initialized state based on the received preamble. An early/late analysis of subsequently received base station preambles is used to adjust both the remote station timing and to adjust the output frequency of the remote station master
20 clock and codec clock to effectively maintain end to end synchronization with the respective base station and the PSTN throughout the duration of an established communication link.

 In a preferred embodiment, each base station of a mobile communication network is provided with an adjustable master
25 clock, which generates a respective base station clock signal. The base station clock signal is used to regulate a frame timing counter, which controls the timing for the respective transmission and reception of digital data frames within each time slot interval of the base station's over the air loop.
30 In accordance with one aspect of the inventions, the base station master clock frequency is continually adjusted at a serial data rate level to match the serial data rate of the overlay network. By way of example, a low frequency component signal is derived from a higher frequency base station clock
35 signal and compared to a reference signal derived from an incoming serial data link of an overlay PSTN network, such as,

e.g., a T1 facility. A signal representing the phase difference between the low frequency signal and the reference signal is then used as a feedback adjustment to the base station master clock, i.e., so that the output frequency is increased or decreased, respectively, to minimize the phase difference. In this manner, the serial data rate of each base station of a mobile communication network becomes uniformly synchronized with the overlay network serial data rate.

As a measure to minimize cross-transmission interference between base station transmissions of adjacent cells, base stations of a communication network are preferably equipped with a GPS receiver, which resets frame timing counters of the base station to a particular state based upon the reception of a GPS pulse. Base stations of the communication network can thereby transmit and receive, synchronously, subject to, for example, the accuracy of the GPS signal received at the various base station locations and the accuracy of the respective base station master clocks within the selected GPS signal intervals.

In accordance with another aspect of a preferred embodiment of the inventions, remote stations of the wireless communication network is provided with an adjustable master clock, which generates a remote station clock signal having a nominal frequency the same as the base station master clocks of the communication network. The remote station clock signal can regulate a respective remote station frame timing counter and control the timing of the transmission and reception of data frames between the remote station and one or more base stations, e.g., within respective base station time slot interval(s).

As a part of a preferred communication protocol, base stations establish an over the air loop derived from the base station clock. The base stations periodically transmit a signal, including a proprietary preamble, at fixed positions in the over the air loop to define time slots. A remote station seeking to initiate contact with a base station evaluates received information until it detects the

proprietary preamble. Upon detecting the proprietary preamble, the remote station produces an internal timing pulse, which synchronizes a correlator for processing the received information and the remote station's frame timing counter for transmitting and receiving information to and from the respective base station. In this manner, the remote station becomes initially synchronized with the respective base station, within the accuracy of the remote station's master clock.

For example, the remote station frame timing counter may establish a "timing window" (e.g., by counting a particular number of remote station clock periods) for receiving base station data frame transmissions initially within the same time slot as the first received base station transmission and then within one or more assigned time slots for maintaining an established communication link with the same, or another base station. Each successive base station data frame transmission includes a preamble at fixed positions within the over the air loop. The remote station produces a timing pulse upon detecting a preamble, which is used to adjust the occurrence of the ensuing timing window. One advantage of this aspect of the inventions is that a remote station may predict the arrival of base station transmissions with a remote station master clock accurate enough to maintain synchronization with the respective base station over a single over the air loop (e.g., 20 ms).

In accordance with yet another aspect of the inventions, the successive base station timing pulses are also used to continually adjust the actual remote station master clock output frequency to that of the respective base station clock throughout the duration of an established communication link - e.g., in a manner similar to the continual synchronization of the base station clock to the overlay network. The respective base and remote station transmissions are less likely to encroach beyond the guard time gaps following each respective time slot transmission interval, as may otherwise occur from a drift in one or both of the respective clock

signal frequencies. Preferably, early/late adjustments to the remote station's timing counter and master clock frequency are made based on timing pulses received in base station transmission frames which pass an error check, e.g., such as a cyclic redundancy check (CRC), to minimize making false adjustments. Further, by employing the remote station master clock as a reference clock signal for synchronizing any other clocks of the mobile station, e.g., an vocoder clock, all remote station clocks may be effectively synchronized with a respective base station throughout the duration of an established communication link.

These and other objects, aspects, advantages and features of the present inventions will be more fully understood and appreciated by those skilled in the art upon consideration of the following detailed description of preferred embodiments, presented in conjunction with the accompanying drawings.

Brief Description of the Drawings

The drawings illustrate both the design and utility of preferred embodiments of a communication network and its component elements embodying the present inventions, in which:

FIG. 1 is a block diagram of an exemplary wireless communication network connected to an overlay network, such as a public switched telephone network ("PSTN");

FIG. 2 is a block diagram of timing circuitry of a preferred base station;

FIG. 3 is a schematic diagram of a preferred adjustable master clock circuit for use in a base or remote station;

FIG. 4 is a schematic diagram of another preferred adjustable master clock circuit for use in a base or remote station;

FIG. 5 is a block diagram of the timing circuitry of a preferred mobile station;

FIG. 6 is a block diagram of a preferred remote station radio interface module; and

FIG. 7 illustrates a timing window of a preferred mobile

station radio interface module.

Detailed Description of the Preferred Embodiment

Referring to FIG. 1, an exemplary communication network 10 includes a plurality of base stations 12, which may include one or more intelligent base stations 15, wherein each base station 12 and intelligent base station 15 is located within a respective geographic cell defined by cell boundaries 13. A plurality of independent (activated) remote stations 14 are distributed throughout the network 10, with multiple remote stations 14 typically located in a particular geographic cell at any given instant. The remote stations may be mobile handsets or fixed customer premises remote units.

Both the base stations 12 and intelligent base station 15 and the remote stations 14 each preferably comprise a radio transmitter and receiver, and preferably communicate with one another using a combination of time division multiple access ("TDMA"), frequency division multiple access ("FDMA"), and code division multiple access ("CDMA") transmission techniques, respectively, preferably by employing a spread spectrum encoding format. For example, TDMA is preferably used to separate users within each geographic cell location. To provide greater area of coverage, or to provide greater capacity for densely populated regions, multiple or sectorized cells may be deployed using FDMA, thus separating cells by frequency. Further, to permit multi-cell deployments in a given region, a spread spectrum form of CDMA may be used for each RF link, (i.e., each over-the-air radio frequency signal link), in order to reduce co-channel interference between nearby cells using the same RF carrier frequency. Spread spectrum may advantageously improve system response to RF channel impairments. Both FDD and TDD may be employed with any of these multiple access techniques.

In particular, the respective base stations 12 and intelligent base station 15 are used to perform the requisite over-the-air radio transmission and reception to remote stations 14 located within its cell area, and will contain the

equipment needed to communicate with the respective remote stations. In this regard, a base station 12 supports the over-the-air, terrestrial, and signaling links, respectively, necessary for linking a remote station 14 to an overlay network 20, such as, e.g., a public switched telephone network (PSTN) through PCS network infrastructure 19 via interface 17. A base station 12 is connected, via back haul lines 18, to a respective base station controller ("BSC") 16, which preferably controls the two-way transmissions of a plurality of base stations 12 in order to more efficiently provide certain operations such as, e.g., call handoffs between base stations and bearer data encoding and decoding, as well as general OAM&P ("operations, administration, maintenance & provisioning") support functions. An intelligent base station 15 is intended herein to describe a base station which incorporates the features of both a standard base station 12 and a base station controller 16, respectively, in a single unit. The respective base station controllers 16 and intelligent base stations 15, in turn, are connected to the overlay network 20 via further back haul lines 21. In a typical preferred embodiment, an overlay network 20 will be connected to a multitude of base stations 12, either by way of a (smaller) number of base station controllers 16 or directly, where intelligent base stations 15 are employed. The respective back haul lines 18 and 21 are preferably dedicated (e.g., private or leased public), two-way DS0, DS1 or DS3 level facilities, depending on the particular traffic load requirements of the specific portion of the communication network 10.

To communicate with multiple remote stations 14 in its respective geographic cell, each base station 12 and intelligent base station 15 preferably employs an over the air loop comprising a number of individual air channels, or time slots, wherein each time slot may be used by a remote station 14 to communicate with the respective base station 12 and intelligent base station 15. In accordance with a preferred communication protocol an FDD protocol such as that embodied

in the GSM standards may be employed. In accordance with another preferred communication protocol, a time division duplexing ("TDD") transmission mode technique is preferably employed, whereby both downlink -- i.e., in the base station to remote station transmission direction -- and uplink -- i.e., in the remote station to base station transmission direction -- transmissions are carried over a common communication frequency path by employing time intervals for each respective signal transmission within a given time slot.

For example, in an exemplary preferred network embodiment employing a TDMA/TDD frame and time slot structure based on a 20 millisecond (ms) over the air loop and employing 5.0 Mega "chip" per second (Mcps) transmission rate, the 20 ms over the air loop is equally divided between 32 full duplex channels, with each resulting time slot channel being capable of supporting 8 kbps full duplex digital data transmission between the respective base and remote stations. In yet another exemplary preferred embodiment, employing a 2.5 Mcps transmission rate, a 20 ms over the air loop is equally divided between 16 full duplex channels, with each resulting time slot channel being capable of supporting 9.6 kbps full duplex transmission between the respective base and remote stations. With either of the aforescribed exemplary preferred embodiments, the first portion of each time slot channel is preferably allocated for a remote frame transmission, and the second portion is preferably allocated for a base station frame transmission, respectively. After each respective base or remote frame transmission, a portion of the time slot is preferably allocated to allow sufficient guard time for the transmitted signal to propagate back and forth to the respective receiver, e.g., based on the projected maximum geographic cell radius. In other words, even if there were perfect synchronization between respective base and remote station transmission intervals, a guard time is still preferred to minimize the possibility of received and transmitted signals overlapping in time due to the varying transmission distances and atmospheric conditions within the

geographic cell location.

Preferably, any time slot in an over the air loop of a given base station 12 and intelligent base station 15 that is not already seized by a remote station 14 may contain a
5 general poll command message transmitted by the respective base station 12 and intelligent base station 15 in that time slot's base station transmit interval. To acquire a time slot, a remote station 14 responds to a received general poll message in a remote station transmit interval. Upon receiving
10 the response, the respective base station 12 and intelligent base station 15 may send specific poll messages in the next appearance of the same time slot in the over the air loop, which may preferably include a time slot (or time slots) assignment for communication between a remote station 14 and
15 the base station 12 and intelligent base station 15, which may or may not be the same time slot used to transmit the general poll command message.

A preferred communication protocol is fully described in U.S. Patent Application Serial No. 08/746,700, and owned by
20 the assignee of the present invention, which is fully incorporated herein by reference in its entirety.

Referring to FIG. 2, a preferred base station 12 includes a T1 interface module 30, which transmits and receives downlink and uplink digital data streams, respectively, and
25 timing information from the network, to and from a respective base station controller 16 via T1 backhaul lines 18. In alternate preferred embodiments, the interface module 30 may be configured to support differing types of transmission rates or formats, such as, e.g., "E1", depending upon the employed
30 network transmission protocol. The downlink and uplink digital data streams are transmitted to and from the T1 interface module 30 in a time-division multiplexed format, preferably in sequentially allocated over the air loop frames. In particular, each over the air loop frame comprises
35 individual data channels, wherein each data channel contains a data frame corresponding to a respective time slot of the base station's over the air loop.

By way of example, in an exemplary preferred embodiment employing a TDMA/TDD frame and time slot structure based on a 20 ms over the air loop frame equally divided between 16 full duplex channels, and employing a 2.5 Mcps data transmission rate, a data frame comprising 192 bits of bearer data may be transmitted and received, respectively, during each time slot of the respective over the air loop. In addition to the bearer information, between 64 and 128 bits of signaling and control information may be included in each frame transmitted between the base station controller 16 and base station 12, respectively. Preferably sync bits are included in the signaling and control information for synchronizing the vocoders end to end as described in Application Serial No. 08/746,700, which is incorporated herein by reference.

A duplex data bus 32 preferably transmits the respective downlink and uplink information between the T1 interface module 30 and a radio interface module 34, respectively. The radio interface module 34 preferably includes a plurality of downlink and uplink data channel buffers for transitorily storing the data frame contained in each respective channel of one or more over the air loop frames, respectively, on an individual channel-by-channel or frame by frame, basis. In particular, in the downlink, or base station transmit direction, each over the air loop frame received over bus 32 from the T1 interface module 30 is parsed by the radio interface module 34 into respective downlink data channel buffers. Any signaling and/or control information not required for over-the-air transmission is preferably stripped off the data contained in each respective channel buffer, with the remaining bearer data frame in each respective channel sequentially transmitted over radio interface 36 and into radio 38, which transmits the information over-the-air preferably on a time slot by time slot basis, respectively, in accordance with the base station's over the air loop timing protocol.

Conversely, in the uplink, or remote station transmit direction, incoming data frames received by the base station

from respective remote stations during the remote station transmit periods of the over the air loop are sequentially loaded into respective uplink channel buffers. The requisite signaling and control information for uplink backhaul transmission is then preferably added to the data frame in each respective uplink channel buffer and the successive data frames are sequentially moved from the radio interface module 34 over bus 32 to the T1 interface module 30, preferably forming a backhaul data frame for each respective over the air loop.

The base station 12 is provided with an adjustable base station master clock circuit 40, which generates a base station clock signal 42 for controlling the timing of the base station 12, e.g., at a frequency of 20 MHz in a preferred embodiment for controlling a base station over the air loop of 20 ms. In a presently preferred embodiment the timing control link 46 transmits only timing control to the T1 interface module 30, both the 20 megahertz clock signal and timing control signals to the radio interface module 34 and only the 20 megahertz clock signal to the radio 38. Preferably the timing control signals to the T1 interface module comprises an interrupt signal that indicates that the data transmission for a particular slot is complete. Preferably the timing control signals sent to the radio interface module 34 comprise a transmit enable signal and an over the air loop strobe indicating the beginning of the over the air loop. In this embodiment the radio interface module 34 sends transmit and receive enable signals. The base station clock signal 42 is input to base station controller 44. The base station controller controls the data frame timing of the T1 interface module 30, radio interface module 34, and radio 38, respectively, over a timing control link 46. In particular, the base station controller 44 employs an internal counter for counting successive rising edges of the base station clock signal 42, to regulate data frame transmission and reception intervals of the base station's over the air loop.

By way of example, in an exemplary preferred embodiment,

the master base station clock circuit 40 produces a 20 MHz clock signal 42, which is input into the base station controller 44. The base station controller's internal counter detects rising edges of the 20 MHz clock signal 42, and
5 outputs data frame control signals over control link 46 for each time slot which, among other things, are used to trigger an incremental data frame transfer by the T1 module 30, radio interface module 34 and radio 38, respectively.

Each base station 12 of the preferred communication
10 network 10 is preferably equipped with a global positioning system ("GPS") receiver 48, which delivers an accurate timing pulse 50 transmitted by a GPS satellite once every second. Upon reception and detection of the GPS timing pulse 50, the base station controller 44 determines whether the internal
15 counter needs adjustment based upon the receipt of the timing pulse as related to a series of overlapping timing windows. If the timing pulse is received during a "no adjust" window, no adjustment is made. If the timing pulse falls outside the "no adjust" window but within a fine adjust window, the
20 internal counter is incremented or decremented by one count. If the timing pulse falls outside the fine adjust window but within a coarse adjust window, the counter is incremented or decremented by multiple counts, preferably 3 to five counts. If it falls outside the coarse adjustment window the counter
25 is reset to an initialized state.

The over the air loop frame timing of each base station 12 is thereby monitored every second, -- e.g., every 50 over the air loop frame periods based on a preferred frame timing of 20 ms, depending on the accuracy of the GPS signal received
30 at the various base station locations and the particular accuracy of the respective base station master clock circuits 40 within each one second interval. Based on the expected accuracy of known circuit elements, monitoring of the synchronization, and adjustment if necessary, every second,
35 will minimize, if not eliminate, any problems arising from cross-transmission interference between base and remote stations in adjacent cells, which could otherwise occur by the

drifting apart of their respective clock signals.

Referring to FIG. 3, a first exemplary preferred embodiment 40a of a master base station clock circuit generally comprises a clock signal generation module 54 and a phase lock loop module 55, respectively. The clock signal generation module 54 includes a voltage sensitive oscillating crystal 56, preferably having a sufficiently high frequency to ensure an accurate timing pulse -- e.g., a 20 MHZ oscillator is preferred for deriving a clock signal 42 of sufficient accuracy or controlling a 20 ms over the air loop frame. Preferably the signal 58 input to the clock signal generation module 54 is connected to the oscillator 56 and to ground through capacitor 60. The other terminal of the oscillating crystal 56 is connected to ground through a second capacitor 62. A resistor 64 and inverter 66 are arranged in parallel with the oscillator 56.

The resulting base station clock signal 42 is fed back into the feedback-adjustment module 55. The phase lock loop module 55 includes a first dividing circuit 70, which divides the clock signal 42 into a substantially lower frequency component square wave signal 72, e.g., 4 KHz in a preferred embodiment. The phase lock loop module 55 receives an input reference clock signal 52 derived from the overlay network 20 at the T1 interface module 30, such as, e.g., an 8 KHz signal derived from the incoming T1 serial data rate over backhaul lines 18. The network reference clock signal 52 is fed into a second dividing circuit 74, which divides the network reference clock signal 52 into lower frequency component square wave signal 76 having the same frequency, e.g., 4 KHz, as the square wave component signal 72 derived from the base station clock signal 42. The two component square wave signals 72 and 76, respectively, are then compared to one another, e.g., in a digital X'OR phase detector 78, to obtain a differential comparison signal 80, representing the frequency/phase difference between the square wave 72 derived from the base station clock signal 42 and the square wave 76 derived from the network reference clock signal 52,

respectively. The differential comparison signal 80 is shaped into an analog signal by a pair of RC circuits 82/86, and 84/88, respectively, and used as an input to a varactor tuning circuit 90. The varactor tuning circuit produces a responsive analog feedback voltage 92 corresponding directly to the signal level of the differential comparison signal 80. The feedback voltage 92 is coupled to an input 58 of the clock generation module 54 by a capacitor 94. Preferably the time constant of the varactor tuning circuit 90 is slow to prevent noise on the clock signal in that it slowly updates the master clock frequency.

Referring to FIG. 4, a second preferred embodiment 40b of the master base station clock circuit generally comprises a clock signal generation module 96 and a phase lock loop module 97, respectively. The clock signal generation module 96 employs a Texas Instruments model LS628 voltage controlled oscillator ("VCO") 98, which outputs a base station master clock signal 42 over an output pin 8, preferably having a sufficiently high frequency (e.g., 20 MHz) for accurately controlling a 20 ms base station over the air loop. In particular, the base station clock signal 42 is generated by the VCO 98 by the following preferred input configuration:

A reference voltage V_r , from a source 100 is connected across an inductor 102 and input, via a first node "A", into VCO 98 input voltage pin 1. Node "A" is also connected to ground across a capacitor 104. The reference voltage V_r is also connected, via a pair of additional nodes "B" and "C", respectively, across a resistor 108 and input into VCO 98 input voltage pin 3. Node "B" is also connected to ground across a capacitor 106, and node "C" is connected to ground across a resistor 110, respectively. A resistor 112 is connected across pins 4 and 5, and a capacitor 114 is connected across pins 6 and 7, respectively, of the VCO 98.

The resulting base station clock signal 42 of clock circuit 40b is fed back into the phase lock loop module 97. the phase lock loop module 97 includes a first dividing circuit 124, which divides the clock signal 42 into a

substantially lower frequency component square wave signal 126, e.g., 4 KHz in a preferred embodiment. The feedback module 97 also receives an input reference clock signal 52 derived from the overlay network 20 at the T1 interface module 30, such as, e.g., an 8 KHz signal derived from the serial data rate of the incoming T1 signals carried over backhaul lines 18. The network reference clock signal 52 is fed into a second dividing circuit 128, which divides the network reference clock signal 52 into lower frequency component square wave signal 130 having the same frequency (e.g., 4 KHz) as the square wave component signal 126 derived from the base station clock signal 42. The two component square wave signals 126 and 130 are then compared to one another, e.g., by a digital X'OR phase detector 132, to obtain a differential comparison signal 134, representing the phase differences between the square wave 126 derived from the base station clock signal 42 and the square wave 130 derived from the network reference clock signal 52, respectively.

The differential comparison signal 134 is then passed through a pair of resistors 136 and 138, wherein a node "D" located between resistors 136 and 138 is also connected to ground through a resistor 140 and capacitor 142, respectively. The resulting signal 146 is connected, via node "E", to VCO 98 voltage input pin 2. Node "E" is also connected to ground through a capacitor 144.

Referring to FIG. 5, a preferred mobile station 14 includes a radio 150 for transmitting and receiving over-the-air (OTA) signals preferably in the form of RF modulated digital data frames to and from respective base stations 12. The radio 150 is linked via a parallel receive data bus 152 and a serial transmit interface 152a to a radio interface module 154, which includes digital data filter and correlation circuitry for handling incoming data frames received from a base station 12. The radio interface module 154 is linked via a full duplex serial interface 156 to a mobile station vocoder module 158, which includes a digital signal processing ("DSP") unit 160 and a voice code-decode ("CODEC") unit 162,

respectively. The CODEC unit 162 transmits and receives digitally sampled voice signals at 8 KHz (i.e., every 125 micro-seconds), over a two-way voice path 164 and outputs and receives an analog voice signal on lines 165 and 165a. The DSP unit 160 performs the requisite data handling of the respective incoming and outgoing data frames, including necessary compression and decompression of the incoming information.

The mobile station 12 is provided with an adjustable mobile station master clock circuit 174, which generates a mobile station clock signal 176 having the same frequency as the respective base stations 12 of the communication network 10 for controlling the timing of the respective mobile station 14 data transmission operations. In a preferred embodiment, the mobile station master clock circuit 174 is preferably configured substantially identical to the base station master clock circuit 40, e.g., as depicted in FIGS. 3 and 4, except that its output frequency is adjusted by a pulse width modulator 172 based upon successive incoming base station transmissions, which generates a pulse width modulated control signal 175.

The mobile station clock signal 176 is used as an input clock for remote station controller 178. The remote station controller 178 employs timing counter for counting successive rising edges of the mobile station clock signal 176, which information is used to regulate the transmit and receive timing periods of the mobile station 14 within one or more acquired base station 12 time slots. The remote station controller 178 controls the data transmission timing of the DSP unit 160, radio interface module 154, and radio 150, respectively, through control signals transmitted over a timing control link 180, which signals include the output of the timing counter in the mobile station controller.

The mobile station master clock signal 176 is also used as a reference clock for synchronizing an adjustable CODEC clock circuit 182. By way of example, a preferred mobile station 14 employing a 20 MHZ master clock 174 to control the

mobile station data transmission operations in a 20 ms over the air loop, also employs a 24.576 MHz or other even multiple clock 182 to derive a stable 2.048 MHz CODEC timing signal 184 for controlling 8 KHz digital voice sampling operations.

5 Again, in a preferred embodiment, the CODEC clock circuit 182 is preferably configured substantially identical to the base station master clock circuit 40, e.g., as depicted in FIGS. 3 and 4, except that its output frequency is synchronized (at a serial data rate) with the mobile station's clock signal 176.

10 As with the comparison circuitry of the phase lock loop modules 55 and 97 of FIGS. 3 and 4, respectively, the synchronization of the CODEC clock signal 184 to the mobile station clock signal 176 is preferably performed by first dividing each of the respective signals into a (much lower) common frequency square wave component signal, e.g., 4 KHz. 15 The respective 4 KHz square waves are then compared and the output frequency of the CODEC clock circuit 182 adjusted accordingly. In this way the mobile station CODEC frequency 184 may be locked to the mobile station's master clock 20 frequency 176, even though the two clocks output different frequency signals.

Referring to FIG. 6, a mobile station 14 seeking to initiate contact with a base station 12 begins searching over-the-air transmissions for a general polling signal sent by a 25 base station 12 within transmission range of the mobile station 14. In particular, the remote station 14 analyzes incoming signals received by its radio 150 to find a respective base station general polling signal. As more fully described in U.S. Patent Application Serial Nos. 304,091 and 30 484,007, assigned to the assignee of the present application and which is hereby fully incorporated herein by reference, the first n bits of a received signal are serially loaded into a digital matched filter circuit 165 in the radio interface module 154, where n corresponds to a selected length of a 35 proprietary base station preamble. The n bits of data are then compared against one or more stored preamble bit-patterns in the matched filter circuit 165, while the remaining m bits

of the received data transmission are loaded into many, preferably 32, serial digital correlators 166.

5 If a match between the received n-bit preamble and a stored n-bit pattern is detected, the matched filter circuit 165 produces a timing pulse 167, which substantially corresponds to a known position of the respective transmitting base station's frame counter. The timing pulse causes the serial correlators 166 to extract the received m-bits of data comprising the base station's general polling signal frame, 10 which is preferably checked for accuracy -- e.g., by employing a known error-check methodology such as a CRC process 168 -- and, if the received data frame is correct within a selected margin of error, the CRC verification signal 173 is input to the early/late detector 170 and the mobile station controller 15 178. The early/late detector 170 compares the output of the mobile station controller 178 timing counter appearing on link 180 to generate an adjustment signal 171 which is input to the pulse width modulator 172 and the mobile station controller 178. Upon reception of the adjustment signal 171, the mobile 20 station controller 178 sets its timing counter to an initialized state. In this manner, the mobile station controller 178 is synchronized with the over the air loop timing of the respective transmitting base station. The pulse width modulated control signal 175 is input to the mobile 25 station master clock circuit 174.

In particular, as seen in FIGS. 5 - 7, the mobile station controller 178 is initialized by a first timing pulse 169 generated from a preamble match detection on an initially received base station polling signal data frame 186; (shown as 30 occurring at $t = I$ in FIG. 7, where t represents an arbitrary over the air loop interval of a respective base station). At $t = I+1$, the remote station controller 178 establishes an initial timing window 188 for the serial correlator to receive a specific poll message 190 from the respective base station, 35 preferably in the base station transmit portion of the same over the air loop time slot in which the general polling signal was received. The timing window 188 preferably has a

fixed length of sufficient duration to allow for receiving the expected base station specific poll transmission 190 in the expected time slot interval, while not being so long as to extend beyond the guard time in either direction and
5 inadvertently receive spurious transmissions from other base or mobile stations in bordering time slot intervals.

In accordance with a preferred communication protocol, cell boundaries 192, 194 for the initial timing window 188 are selected based on the leading edge 191 of the initially
10 received general polling frame 186, which corresponds to the timing pulse 167. In particular, the lead cell boundary 192 of the timing window 188 is established by subtracting a fixed early arrival zone 196 from the over the air loop timing by the timing counter of the mobile station controller 178.

15 To maintain synchronization between the respective mobile and base stations during an established communication link, each successive base station data frame transmission preferably includes a preamble. The matched filter circuit 165 continues to produce a timing pulse 167 upon detecting
20 each received preamble. Each successive timing pulse 167 is input into an early/late comparator circuit 170, and compared with the expected arrival time based on the timing counter output of the mobile station controller 178 appearing on the link 180. The early/late comparator circuit sends an
25 adjustment signal 171 to the mobile station controller 178, which directly adjusts the timing counter for establishing a subsequent timing window by adding or subtracting one or more clock pulses to the timing count to either accelerate or delay, respectively, the appearance of the next timing window.

30 For example, a timing window 198 for receiving a base station transmission 200 at $t = I+2$ is established based on the leading edge 197 of the previously received base station message frame 190, i.e., received at $t = I+1$. If frame 190 was received "late" (as shown in FIG. 7), the total count
35 required for establishing window 198 is increased by a single clock cycle, as calculated by the early/late comparator circuit 170. Conversely, if frame 190 was received "early"

(as is shown for subsequent frame 200 in FIG. 7), the total count required for establishing window 198 is decreased by a single clock cycle as calculated by the early/late comparator circuit 170. A timing window 204 for receiving a base station transmission 206 at $t = I+3$ is established based on the leading edge 202 of the previously received base station message frame 200, and so on. This process continues throughout the duration of an established link.

Referring again to FIG. 5, the base station timing pulses are also used to continually adjust the output frequency of the mobile station master clock circuit 174. In one preferred embodiment, the mobile station clock frequency is controlled in a manner similar to the continual synchronization of the base station master clock circuit 40 to the overlay network 20 as depicted in FIGS. 1 and 2. The difference between the two is that the digital input to the varacter control of the crystal oscillator circuit is preferably the pulse width modulated signal 175 whose duty cycle is adjusted by the pulse width modulator 172 based upon the adjustment signal 171 from the early late detector 170.

The respective base and mobile station transmissions are thereby further prevented from encroaching beyond the guard time gaps following each respective time slot transmission interval, as may otherwise occur from a drift in one or both of the respective clock signal frequencies. Preferably, early/late adjustments to the mobile station's controller counter and master clock frequency are only made based on timing pulses 167 received in base station transmission frames which pass an error check, e.g., upon receiving a "CRC" verification signal 173, to ensure no false adjustments are made. An advantage of the aforescribed preferred embodiment is that the mobile station master clock circuit 174 need only be initially accurate enough to detect an initial preamble match and perform a successful CRC routine. Once a match is made, the respective base station and mobile station clocks are locked by the aforescribed synchronization adjustments. If a mobile station stops receiving transmissions from the

respective base station in the acquired, or later assigned time slot, the mobile station master clock 174 is no longer adjusted and thereafter remains at its last setting until communication with the same, or another base station 12 takes place.

Thus, methods and network architectures for the acquisition and maintenance of synchronization between components of a mobile communication network have been disclosed, including network-wide, intra-base station, base station to mobile station, and intra-mobile station synchronization, respectively. While the foregoing present inventions are particularly well suited for a mobile communication network, it will be apparent to those skilled in the art that the described methods and network architectures can be effectively practiced with any communication network, and is particularly well suited for communication networks employing asynchronous transfer mode (ATM) mode communications.

By way of example only, the disclosed synchronization methods and network architectures could be employed in a burst-mode data packet transmission network, wherein recovery of, and ongoing synchronization to, an underlying TDMA data cell transmission clock is required on the receiving end of a transmission link.

As would be apparent to those skilled in the art, many more modifications are possible without departing from the inventive concepts herein. The inventions, therefore, are not to be restricted except in the spirit of the appended claims.

We claim:

1. A communication system comprising:

a base station, said base station having a base station clock circuit having as an output a base station clock signal comprising base station timing information, said base station clock signal forming an input to a base station radio, said base station radio including circuitry for repetitive transmission of information based upon said base station timing information;

a mobile station, said mobile station comprising a mobile station radio for repetitively receiving said transmitted information, said mobile station comprising a radio interface module having as one of its inputs said repetitively transmitted information, said radio interface module comprising circuitry for deriving said base station timing information from said transmitted information, said radio interface module having as an output a timing signal indicative of said base station timing information, said timing signal forming an input to a mobile station clock circuit;

said mobile station clock circuit comprising circuitry for generating a mobile station clock signal based upon said base station timing information and circuitry for updating said mobile station clock signal upon receipt of said repetitive transmissions.

2. The communication network of claim 1, said base station timing information comprising a preamble.

3. The communication network of claim 1, wherein said circuitry for deriving said base station timing information from said transmitted information comprises a digital matched filter circuit which produces a matched filter detect pulse indicative of the base station timing information.

4. The communication network of claim 1, wherein said mobile station clock circuit comprises an adjustable

oscillator circuit.

5 5. The communication network of claim 4, wherein the frequency of said mobile station clock signal varies according to a change in a voltage applied across said adjustable oscillator circuit.

6. The communication network of claim 4, wherein said mobile station clock circuit comprise a varactor tuning circuit which applies a variable voltage across said adjustable oscillator circuit.

10 7. The communication network of claim 4, wherein said circuitry for generating a mobile station clock signal based upon said base station timing information and circuitry for updating said mobile station clock signal upon receipt of said repetitive transmissions comprises

15 a first dividing circuit for deriving a first lower frequency component square wave signal from said mobile station clock signal,

20 a second dividing circuit for deriving a second lower frequency component square wave signal from said base station timing information, and

an XOR circuit for comparing said first and second lower frequency component square wave signals and generating a corresponding comparison output signal which is input to said variable oscillator circuit.

25 8. The communication network of claim 7, further comprising a varactor tuning circuit which applies a voltage across said adjustable oscillator circuit directly proportional to said comparison output signal.

30 9. The communication network of claim 1, further comprising a base station controller, said base station controller includes as one input said base station clock signal and outputs timing information to said radio based upon

said base station clock signal.

10. The communication network of claim 1, wherein said circuitry for deriving said base station timing information from said transmitted information, comprises a digital matched
5 filter having said received transmissions as an input and having as an output a timing pulse indicative of said base station timing information, an early/late detector having as an input timing pulse and having as an output a timing adjustment signal, a pulse width modulator having as an input
10 said timing adjustment signal and having as an output said timing signal which comprises a pulse width modulated signal,

11. A communication network, comprising:
a base station, said base station having
an adjustable base station oscillator
15 circuit outputting a base station clock signal,
means for adjusting said base station oscillator circuit, and
means for transmitting said base
20 station clock signal; and
a mobile station, said mobile station having
means for receiving said base station clock signal,
an adjustable mobile station oscillator
25 circuit outputting a mobile station clock signal,
means for comparing said mobile station clock signal to said base station clock signal and for generating a corresponding
30 base station clock comparison output signal, and
means for adjusting said mobile station oscillator circuit based on said base station clock comparison output signal.

12. The communication network of claim 11, said base station further comprising means for generating a reference clock signal derived from an reference network, wherein said reference clock signal has a frequency which is a lower
5 frequency component of said base station clock signal frequency.

13. The communication network of claim 12, said base station further comprising
a first dividing circuit for deriving a
10 lower frequency component signal from said base station clock signal,
means for comparing said lower frequency component signal with said reference clock signal and generating a
15 corresponding reference signal comparison output signal.

14. The communication network of claim 13, wherein said means for adjusting said base station oscillator circuit comprise a varactor tuning circuit which supplies a voltage
20 across said oscillator circuit directly proportional to said reference signal comparison output signal.

15. The communication network of claim 13, wherein said lower frequency component signal comprises a first square wave, said reference clock signal comprises a second square
25 wave, and said means for comparing said lower frequency component signal to said reference clock signal comprise an XOR circuit.

16. The communication network of claim 12, wherein said reference clock signal is derived from a public switched
30 telephone network.

17. The communication network of claim 12, wherein said base station adjustable oscillator circuit comprises a voltage

sensitive 20 MHZ crystal and said reference clock signal comprises a 4 KHz square wave derived from an 8 KHz analog signal supplied by a public switched telephone network.

18. The communication network of claim 11, said mobile station further comprising means for generating a vocoder clock signal, wherein said mobile station clock signal and said vocoder clock signal each comprise a multiple of a common lower frequency component signal.

19. The communication network of claim 18, wherein said means for generating said vocoder clock signal comprise an adjustable vocoder timing oscillator circuit.

20. The communication network of claim 19, said mobile station further comprising
means for deriving a first lower
frequency component signal from said mobile
station clock signal,
means for deriving a second lower
frequency component signal from said vocoder
clock signal, and
means for comparing said first and
second lower frequency component signals and
generating a corresponding mobile station
clock comparison output signal.

21. A method of synchronizing a base station and a remote station in a communication network, comprising the steps of:
generating timing information at the base station;
transmitting said timing information from the base station to the mobile station;
generating a clock signal at the mobile station;
comparing said timing information and said clock signals at said mobile station; and
adjusting said clock signal to match said timing

information.

22. The method of synchronizing of claim 21, comprising the additional steps of:

5 comparing said timing information with a reference clock
signal derived from a reference network; and
 adjusting said timing information to match said reference
clock signal.

23. The method of synchronizing of claim 21, comprising the additional steps of:

10 receiving periodic GPS pulse signals at the base station;
and resetting a signal frame transmission cycle derived from
said timing information upon receipt of a GPS pulse signal.

24. A method of synchronizing communication signals sent between a base station and a mobile station in a communication
15 network, comprising the steps of:

 generating a first clock signal at the base station;
 comparing said first clock signal with a reference clock
signal derived from a reference network;
 adjusting said first clock signal to match said reference
20 clock signal;
 transmitting a signal to said base station from which the
adjusted first clock signal can be derived;
 deriving said adjusted clock signal at the remote station
 generating a second clock signal at the remote station;
25 comparing said first and second clock signals;
 adjusting said second clock signal to match said derived
adjusted first clock signal;
 receiving periodic GPS pulse signals at the base station;
and resetting a signal frame transmission cycle derived from
30 said first clock signal upon receipt of a GPS pulse signal.

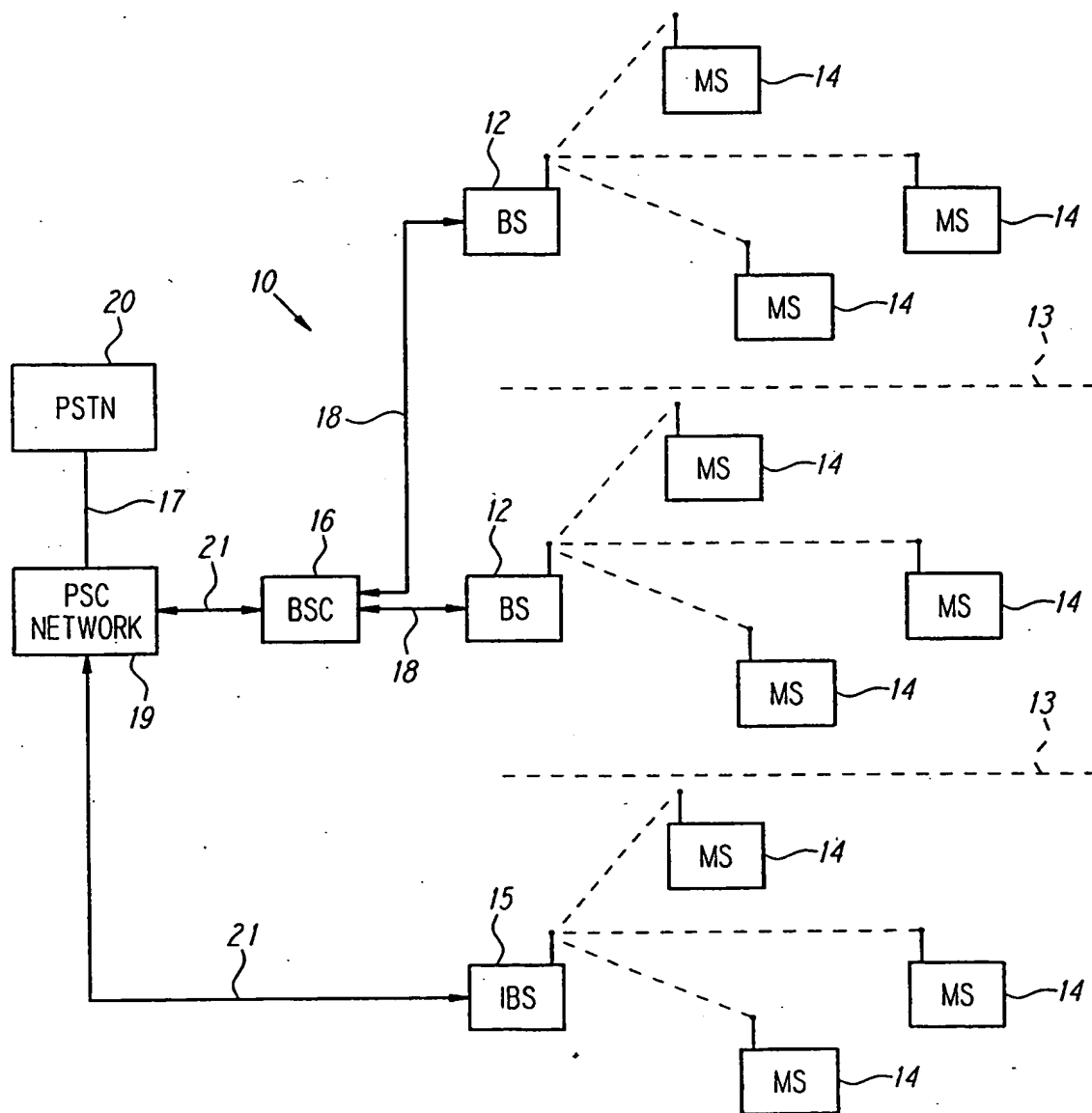
25. The method of synchronizing communication signals of claim 24, wherein the signal transmitted is in the form of a non-data carrying preamble of a communication signal; and

wherein the adjusted first clock signal is derived by detecting said preamble at the remote station with a digital matched filter circuit which produces a matched filter detect pulse.

- 5 26. A method of synchronizing communication signals sent between stations of a communication network, comprising the steps of:
- generating a first clock signal at a first station;
operating communication circuitry at said first station
10 according to a first station frame transfer cycle matching said first clock signal;
comparing said first clock signal with a reference clock signal derived from a reference network;
adjusting said first clock signal to match said reference
15 clock signal;
generating a second clock signal at a second station;
operating communication circuitry at said second station according to a second station frame transfer cycle matching said second clock signal;
20 comparing said first and second clock signals; and
adjusting said second clock signal to match said first clock signal.

27. The method of synchronizing communication signals of claim 26, comprising the additional steps of:
- 25 receiving periodic GPS pulse signals at the first station; and resetting said first station frame transfer cycle upon receipt of a GPS pulse signal.

1/4

**FIG. 1**

2/4

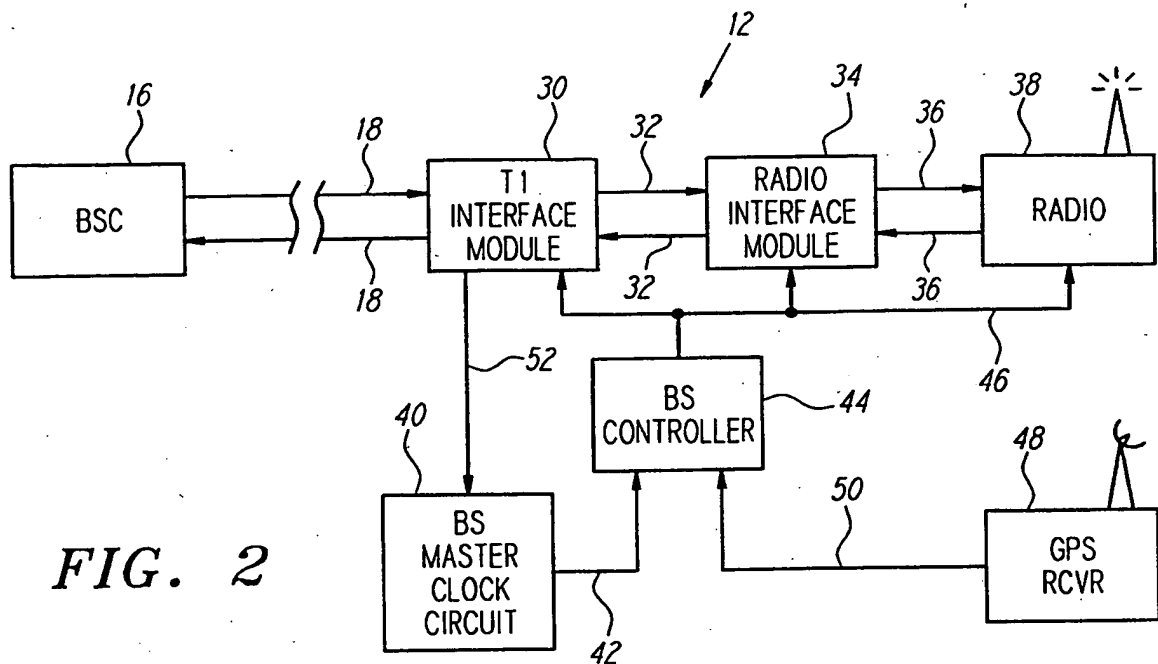


FIG. 2

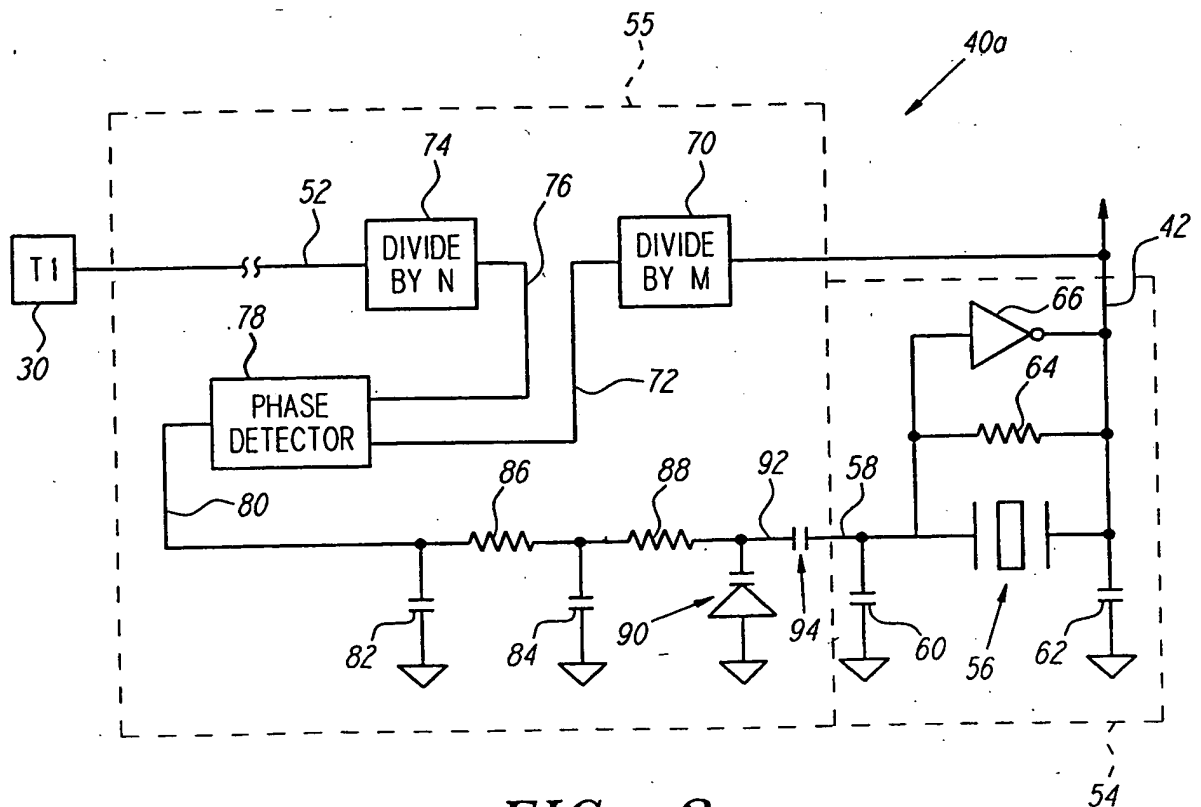


FIG. 3

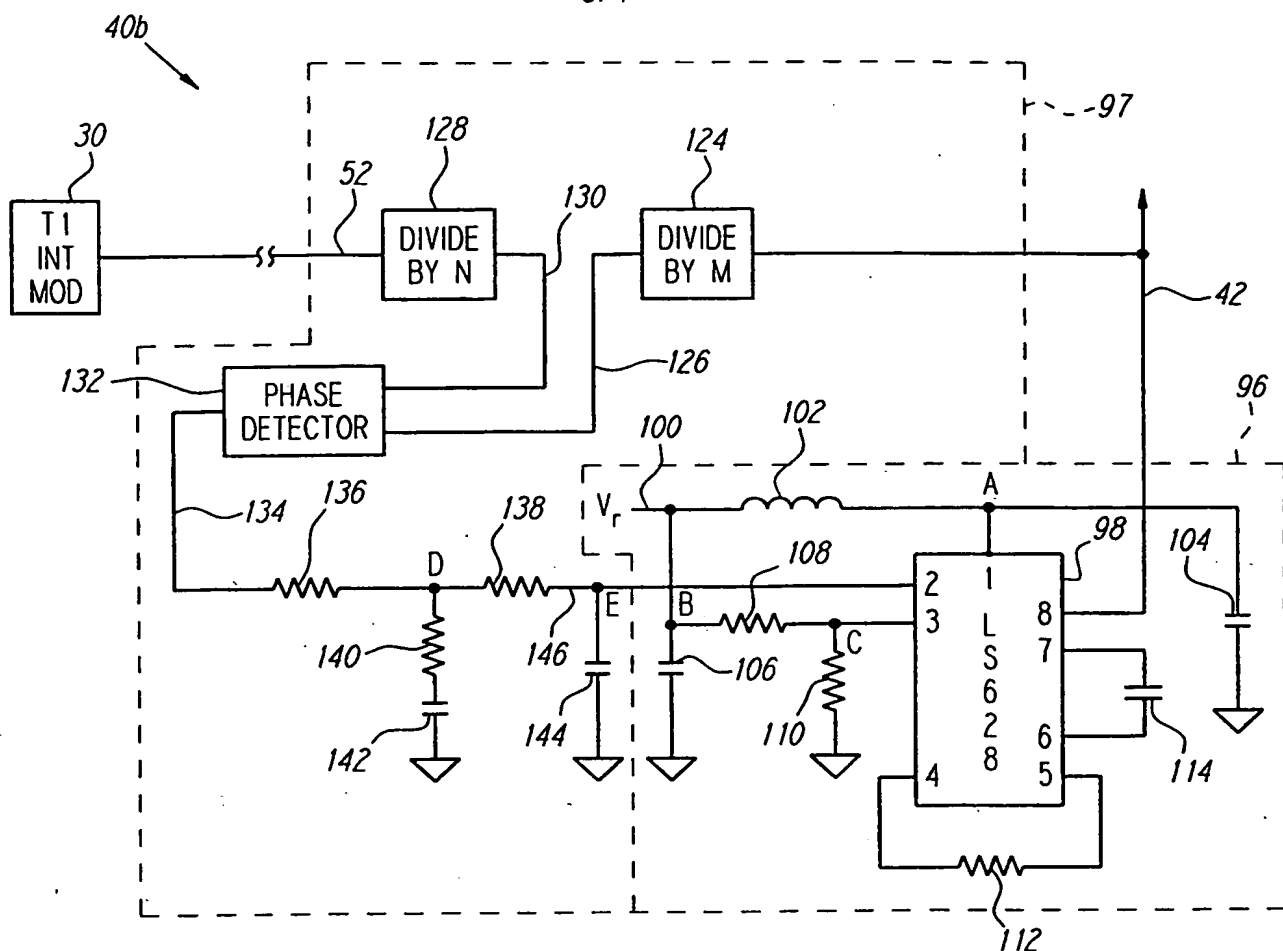


FIG. 4

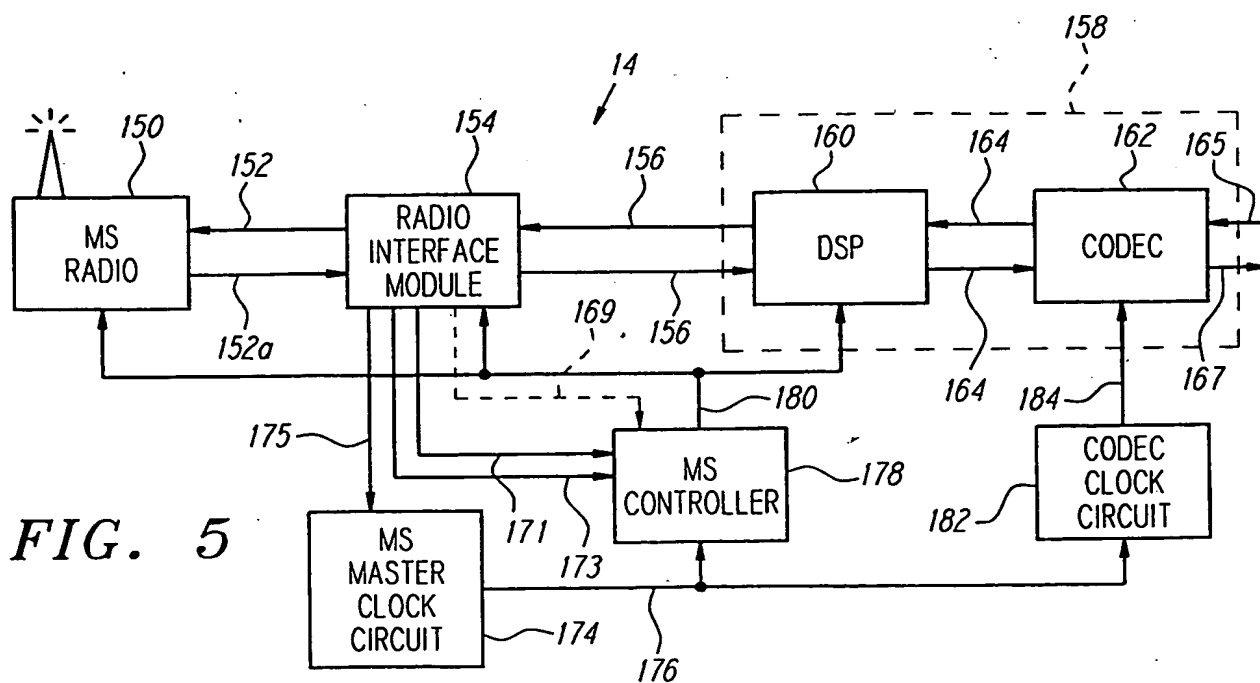


FIG. 5

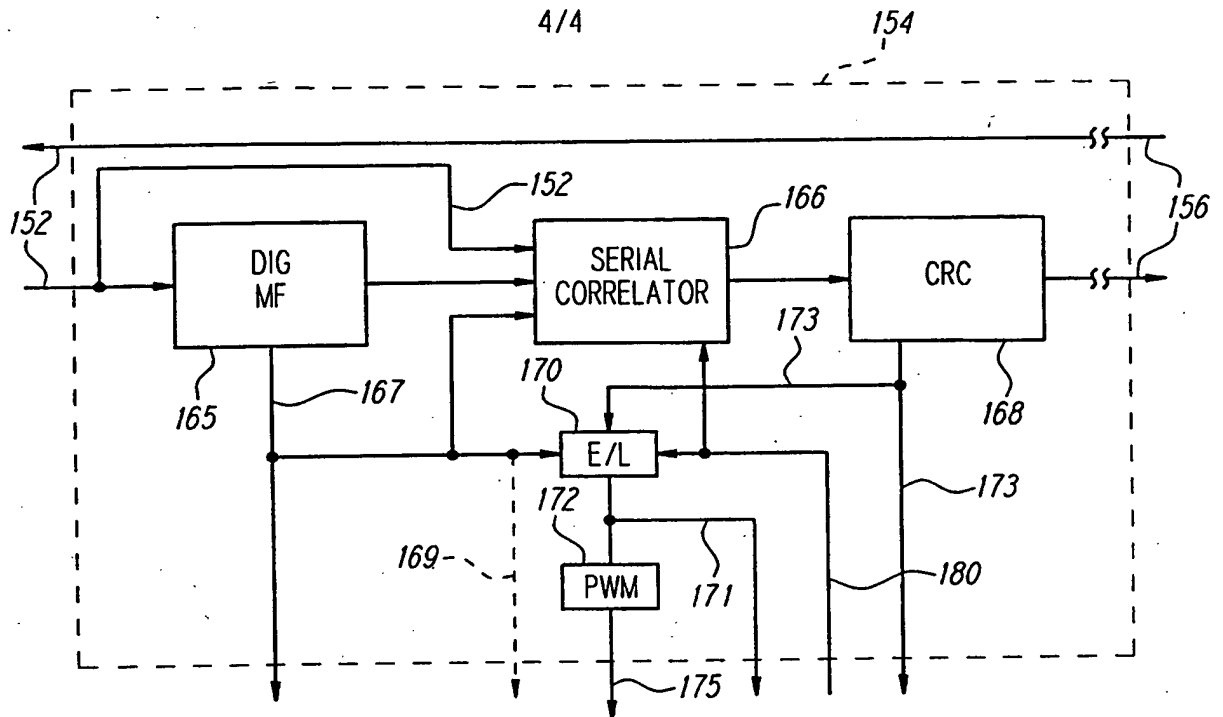


FIG. 6

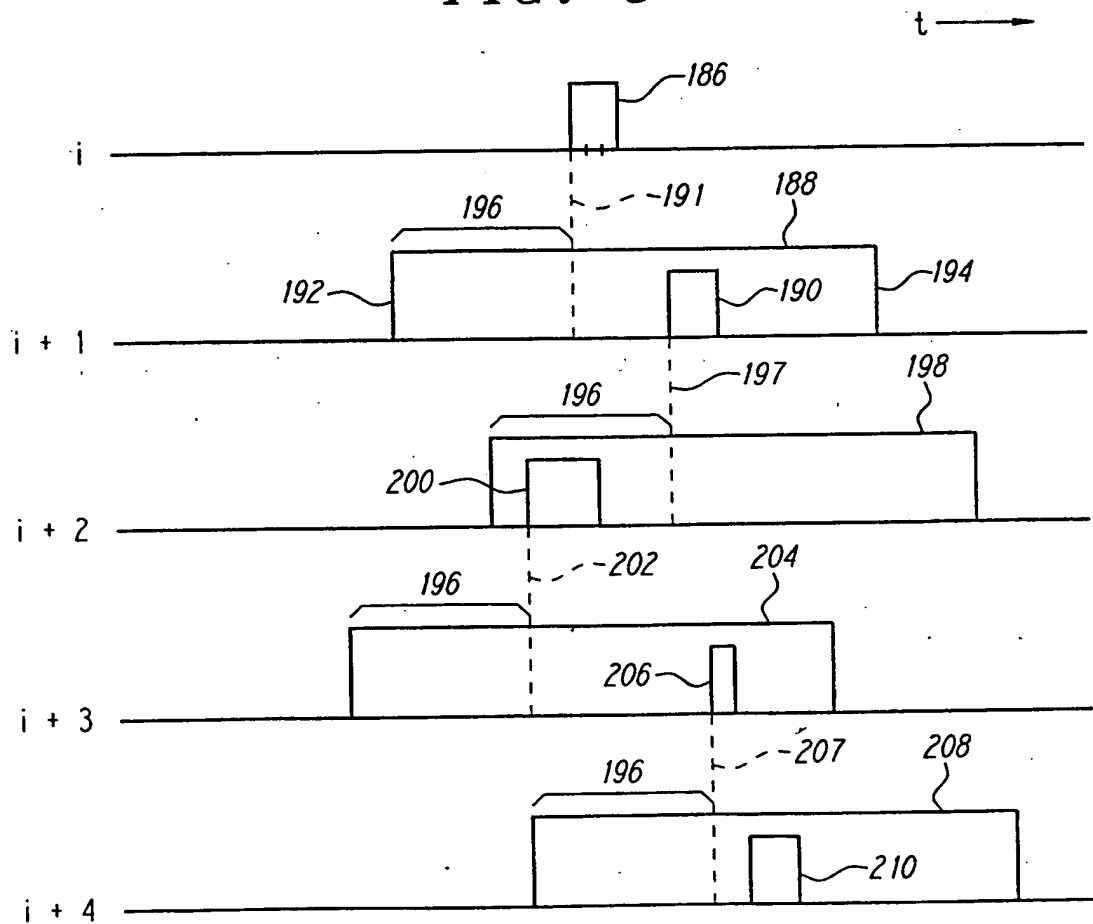


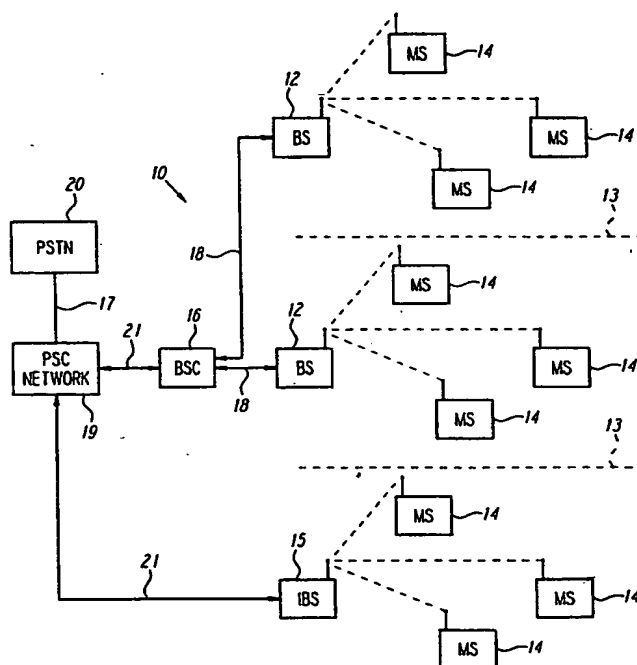
FIG. 7



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H04L 7/00		A3	(11) International Publication Number: WO 98/21897
			(43) International Publication Date: 22 May 1998 (22.05.98)
(21) International Application Number: PCT/US97/20659		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 13 November 1997 (13.11.97)		<p>Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	
(30) Priority Data: 08/749,105 14 November 1996 (14.11.96) US			
(71) Applicant: OMNIPOINT CORPORATION [US/US]; 1365 Garden of the Gods Road, Colorado Springs, CO 80907 (US).			
(72) Inventors: PETCH, Bryan, K.; 12720 Pommel Circle, Elbert, CO 80106 (US). LINDSAY, Charles, L.; 18379 Lazy Summer Way, Monument, CO 80132 (US). JENSEN, Ryan, N.; 17285 Shiloh Pines Drive, Monument, CO 80132 (US).			
(74) Agents: HEMMINGER, Steven, D. et al.; Lyon & Lyon LLP, Suite 4700, 633 West Fifth Street, Los Angeles, CA 90071-2066 (US).		(88) Date of publication of the international search report: 1 October 1998 (01.10.98)	

(54) Title: METHODS AND APPARATUS FOR SYNCHRONIZATION IN A WIRELESS NETWORK



(57) Abstract

As part of a preferred communication protocol, base stations (12) which are synchronized to a PSTN (20) of a wireless communication network (10), periodically transmit a preamble. A remote detects the preamble and, upon verification of the data contained in the base station transmission, sets its counter to an initialized state based on the received preamble. An early/late analysis of each subsequently received base station timing pulse is used to adjust both the mobile station timing and to adjust the output frequency of the mobile station master clock and codec clock to effectively maintain end to end synchronization with the respective base station and the PSTN throughout the duration of an established communication link.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

INTERNATIONAL SEARCH REPORT

 International application No.
 PCT/US97/20659

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :H04L 7/00

US CL :370/516; 455/502; 375/343, 356, 359, 376

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 370/516; 455/502; 375/343, 356, 359, 376

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X — Y	US 4,231,114 A (DOLIKIAN) 28 October 1980, col. 1 lines 43-68, col. 2 lines 1-24. See Fig 1, 2.	1,2,4-5, 11 21, 25. 12, 22, 23, 24, 26, 27.
Y	US 5,028,885 A (VOIGT et al) 02 July 1991, col. 1 lines 12-19. See Fig. 1, 2.	12, 22, 26
Y	US 5,506,863 A (MEIDAN et al) 09 April 1996, col. 6 lines 50-64. See Fig. 1.	23, 24, 27
A	US 4,713,631 A (ENDERBY et al) 15 December 1987 col. 1 lines 5-68.	6, 8, 14
A	US 5,416,800 A (FRANK) 16 May 1995. See Fig. 2.	3



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
B earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

15 MARCH 1998

Date of mailing of the international search report

11 AUG 1998

 Name and mailing address of the ISA/US
 Commissioner of Patents and Trademarks
 Box PCT
 Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

KENNETH VANDERPUYE

Telephone No. (703) 308-7828

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/20659

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,245,634 A (AVERBUCH) 14 September 1993.	23,24,27
A	US 4,001,693 A (STACKHOUSE et al) 04 January 1977.	1-2
A	US 4,630,291 A (LANKAR et al) 16 December 1986.	1, 11, 21

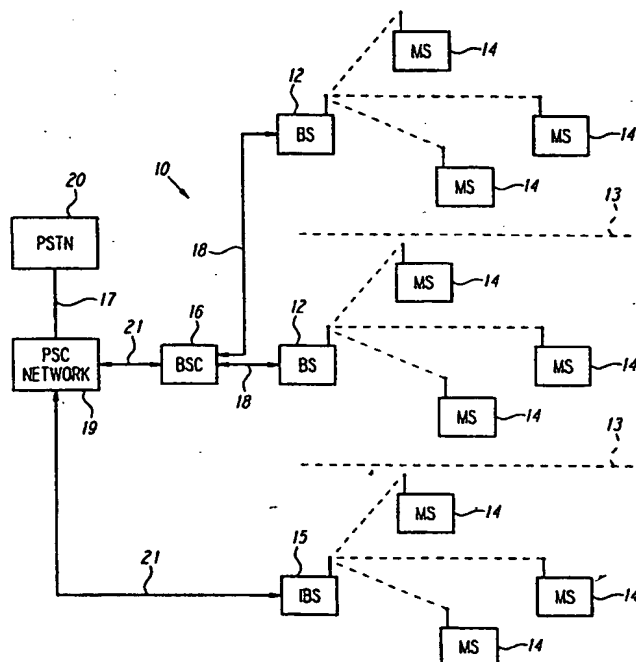
Form PCT/ISA/210 (continuation of second sheet)(July 1992)*



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H04L 7/00	A3	(11) International Publication Number: WO 98/21897 (43) International Publication Date: 22 May 1998 (22.05.98)
(21) International Application Number: PCT/US97/20659 (22) International Filing Date: 13 November 1997 (13.11.97) (30) Priority Data: 08/749,105 14 November 1996 (14.11.96) US (71) Applicant: OMNIPOINT CORPORATION [US/US]; 1365 Garden of the Gods Road, Colorado Springs, CO 80907 (US). (72) Inventors: PETCH, Bryan, K.; 12720 Pommel Circle, Elbert, CO 80106 (US). LINDSAY, Charles, L.; 18379 Lazy Summer Way, Monument, CO 80132 (US). JENSEN, Ryan, N.; 17285 Shiloh Pines Drive, Monument, CO 80132 (US). (74) Agents: HEMMINGER, Steven, D. et al.; Lyon & Lyon LLP, Suite 4700, 633 West Fifth Street, Los Angeles, CA 90071-2066 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> <i>With amended claims and statement.</i> (88) Date of publication of the international search report: 1 October 1998 (01.10.98) Date of publication of the amended claims and statement: 19 November 1998 (19.11.98)

(54) Title: METHODS AND APPARATUS FOR SYNCHRONIZATION IN A WIRELESS NETWORK



(57) Abstract

As part of a preferred communication protocol, base stations (12) which are synchronized to a PSTN (20) of a wireless communication network (10), periodically transmit a preamble. A remote detects the preamble and, upon verification of the data contained in the base station transmission, sets its counter to an initialized state based on the received preamble. An early/late analysis of each subsequently received base station timing pulse is used to adjust both the mobile station timing and to adjust the output frequency of the mobile station master clock and codec clock to effectively maintain end to end synchronization with the respective base station and the PSTN throughout the duration of an established communication link.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakhstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

AMENDED CLAIMS

[received by the International Bureau on 8 October 1998 (08.10.98);
original claims 1, 7, 10-12, 14-17, 21-24 and 26-27 amended;
original claim 13 cancelled; remaining claims unchanged (8 pages)]

1. A communication system comprising:

5 a base station, said base station having a base station clock circuit having as an output a base station clock signal comprising base station timing information, said base station clock signal forming an input to a base station radio, said base station radio including circuitry for repetitive
10 transmission of information based upon said base station timing information;

a mobile station, said mobile station comprising a mobile station radio for repetitively receiving said transmitted information, said mobile station comprising a radio interface
15 module having as one of its inputs said repetitively transmitted information, said radio interface module comprising circuitry for deriving said base station timing information from said transmitted information, said radio interface module having as an output a timing signal
20 indicative of said base station timing information, said timing signal forming an input to a mobile station clock circuit;

said mobile station clock circuit comprising circuitry for generating a mobile station clock signal based upon said
25 base station timing information and circuitry for updating said mobile station clock signal upon receipt of said repetitive transmissions comprising

a first dividing circuit for deriving a first lower frequency component square wave signal from said mobile
30 station clock signal;

a second dividing circuit for deriving a second lower frequency component square wave signal from said base station timing information; and

AMENDED SHEET (ARTICLE 19)

32

an XOR circuit for comparing said first and second lower frequency component square wave signals.

2. The communication network of claim 1, said base
5 station timing information comprising a preamble.

3. The communication network of claim 1, wherein said
circuitry for deriving said base station timing information
from said transmitted information comprises a digital matched
10 filter circuit which produces a matched filter detect pulse
indicative of the base station timing information.

4. The communication network of claim 1, wherein said
mobile station clock circuit comprises an adjustable
15 oscillator circuit.

5. The communication network of claim 4, wherein the
frequency of said mobile station clock signal varies according
to a change in a voltage applied across said adjustable
20 oscillator circuit.

6. The communication network of claim 4, wherein said
mobile station clock circuit comprise a varactor tuning
circuit which applies a variable voltage across said
25 adjustable oscillator circuit.

7. The communication network of claim 4, wherein said
XOR circuit generates a corresponding comparison output signal
which is input to said variable oscillator circuit.

30

8. The communication network of claim 7, further
comprising a varactor tuning circuit which applies a voltage
across said adjustable oscillator circuit directly
proportional to said comparison output signal.

AMENDED SHEET (ARTICLE 19)

33

9. The communication network of claim 1, further comprising a base station controller, said base station controller includes as one input said base station clock
5 signal and outputs timing information to said radio based upon said base station clock signal.

10. A communication system comprising:

a base station, said base station having a base station
10 clock circuit having as an output a base station clock signal comprising base station timing information, said base station clock signal forming an input to a base station radio, said base station radio including circuitry for repetitive transmission of information based upon said base station
15 timing information;

a mobile station, said mobile station comprising a mobile station radio for repetitively receiving said transmitted information, said mobile station comprising a radio interface module having as one of its inputs said repetitively
20 transmitted information, said radio interface module comprising circuitry for deriving said base station timing information from said transmitted information, said radio interface module having as an output a timing signal indicative of said base station timing information, said
25 timing signal forming an input to a mobile station clock circuit;

said circuitry for deriving said base station timing information from said transmitted information comprises

a digital matched filter having said received
30 transmission as an input and having as an output a timing pulse indicative of said base station timing information;

an early/late detector having as an input a timing pulse and having as an output a timing adjustment signal;
and

AMENDED SHEET (ARTICLE 19)

a pulse width modulator having as an input said timing adjustment signal and having as an output said timing signal which comprises a pulse width modulated signal.

5

11. A communication network, comprising:
a base station, said base station having
an adjustable base station oscillator
circuit outputting a base station clock
signal,

10

means for adjusting said base station
oscillator circuit, and

means for transmitting said base
station clock signal; and

15

a mobile station, said mobile station having
means for receiving said base station
clock signal,

an adjustable mobile station oscillator
circuit outputting a mobile station clock
signal,

20

means for comparing said mobile station
clock signal to said base station clock
signal and for generating a corresponding
base station clock comparison output signal,

25

means for adjusting said mobile station
oscillator circuit based on said base
station clock comparison output signal,

means for generating a reference clock signal
derived from a referenced network,

30

a first dividing circuit for deriving a lower
frequency component signal from said base station clock
signal, and

means for comparing said lower frequency component
signal with said reference clock signal and generating

a corresponding reference signal comparison output signal.

12. The communication network of claim 11, wherein said
5 reference clock signal has a frequency which is a lower frequency component of said base station clock signal frequency

14. The communication network of claim 11, wherein said
10 means for adjusting said base station oscillator circuit comprises a varactor tuning circuit which supplies a voltage across said oscillator circuit directly proportional to said reference signal comparison output signal.

15. The communication network of claim 11, wherein said
15 lower frequency component signal comprises a first square wave, said reference clock signal comprises a second square wave, and said means for comparing said lower frequency component signal to said reference clock signal comprise an
20 XOR circuit.

16. The communication network of claim 11, wherein said
reference clock signal is derived from a public switched
telephone network.

25

17. The communication network of claim 11, wherein said
base station adjustable oscillator circuit comprises a voltage sensitive 20 MHZ crystal and said reference clock signal
comprises a 4 KHz square wave derived from an 8 KHz analog
30 signal supplied by a public switched telephone network.

18. The communication network of claim 11, said mobile
station further comprising means for generating a vocoder
clock signal, wherein said mobile station clock signal and

said vocoder clock signal each comprise a multiple of a common lower frequency component signal.

19. The communication network of claim 18, wherein said
5 means for generating said vocoder clock signal comprise an adjustable vocoder timing oscillator circuit.

20. The communication network of claim 19, said mobile
station further comprising
10 means for deriving a first lower frequency component signal from said mobile station clock signal,
means for deriving a second lower frequency component signal from said vocoder
15 clock signal, and
means for comparing said first and second lower frequency component signals and generating a corresponding mobile station clock comparison output signal.

20 21. A method of synchronizing a base station and a remote station in a communication network, comprising:
generating timing information at the base station;
transmitting said timing information from the base
25 station to the mobile station;
generating a clock signal at the mobile station;
deriving a first lower frequency component square wave signal from said mobile station clock signal; and deriving a
second lower frequency component square wave signal from said
30 base station timing information;
comparing said timing information and said clock signals at said mobile station;
adjusting said clock signal to match said timing information.

37

22. The method of synchronizing of claim 21, further comprising:

5 comparing said timing information with a reference clock signal derived from a reference network; and
adjusting said timing information to match said reference clock signal.

10 23. The method of synchronizing of claim 21, further comprising:

receiving periodic GPS pulse signals at the base station; and resetting a signal frame transmission cycle derived from said timing information upon receipt of a GPS pulse signal.

15 24. A method of synchronizing communication signals sent between a base station and a mobile station in a communication network, comprising:

generating a first clock signal at the base station;
20 comparing said first clock signal with a reference clock signal derived from a reference network;
adjusting said first clock signal to match said reference clock signal;

transmitting a signal to said base station from which the adjusted first clock signal can be derived;

25 deriving said adjusted clock signal at the remote station
generating a second clock signal at the remote station;
comparing said first and second clock signals;
adjusting said second clock signal to match said derived adjusted first clock signal;

30 receiving periodic GPS pulse signals at the base station; and resetting a signal frame transmission cycle derived from said first clock signal upon receipt of a GPS pulse signal.

38

25. The method of synchronizing communication signals of claim 24, wherein the signal transmitted is in the form of a non-data carrying preamble of a communication signal; and

wherein the adjusted first clock signal is derived by
5 detecting said preamble at the remote station with a digital matched filter circuit which produces a matched filter detect pulse.

26. A method of synchronizing communication signals
10 sent between stations of a communication network, comprising:
generating a first clock signal at a first station;

operating communication circuitry at said first station according to a first station frame transfer cycle matching said first clock signal;

15 comparing said first clock signal with a reference clock signal derived from a reference network;

adjusting said first clock signal to match said reference clock signal;

generating a second clock signal at a second station;
20 operating communication circuitry at said second station according to a second station frame transfer cycle matching said second clock signal;

comparing said first and second clock signals; and
adjusting said second clock signal to match said first
25 clock signal.

27. The method of synchronizing communication signals of claim 26, further comprising:

receiving periodic GPS pulse signals at the first
30 station; and resetting said first station frame transfer cycle upon receipt of a GPS pulse signal.

Statement under Article 19(1)

The Examiner has indicated that U.S. Patent No. 4,231,114 ("the '114 patent") is of particular relevance to the claims of the present application and that the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when this document is taken alone. Without acquiescing to the Examiner's comments, claim 1 has been amended to include the structure of the circuitry within the mobile station clock circuit. The '114 patent does not disclose the mobile station clock circuit comprising a first dividing circuit for deriving a first lower frequency component square wave signal from said mobile station clock signal; a second dividing circuit for deriving a second lower frequency component square wave signal from said base station timing information; and an XOR circuit for comparing said first and second frequency component square wave signals as that of amended claim 1. Amended claim 1 is therefore novel over the '114 patent. By virtue of their dependency from claim 1, claims 2-9 are likewise novel over the '114 patent.

Claim 10 has been rewritten in independent form and claims a circuitry structure for deriving base station timing information comprising a digital matched filter having said received transmission as an input and having as an output a timing pulse indicative of said base station timing information; an early/late detector having as an input a timing pulse and having as an output a timing adjustment signal; and a pulse width modulator having as an input said timing adjustment signal and having as an output said timing signal which comprises a pulse width modulated signal. The '114 patent does not disclose such a circuitry structure. Claim 10 is therefore novel over the '114 patent.

Claim 11 has been amended to further define the function of the base station of the present invention. The '114 patent does not disclose a mobile station that includes a first dividing circuit for deriving a lower frequency component signal from said base station clock signal and means for comparing said lower frequency component signal with said reference clock signal and generating a corresponding reference signal comparison output signal. Amended claim 11 is therefore novel over the '114 patent. By virtue of their dependency from claim 11, claims 12-20 are likewise novel over the '114 patent.

Claim 21 has been similarly amended to include the method of synchronization by deriving a first lower frequency component square wave signal from said mobile station clock signal and deriving a second lower frequency component square wave signal from said base station timing information. The '114 patent does not disclose such a method. Amended claim 21 is therefore novel over the '114 patent. By virtue of their dependency from claim 21, claims 22-23 are likewise novel over the '114 patent.

The Examiner has also cited U.S. Patent Nos. 5,028,885 ("the '885 patent") and 5,506,863 ("the '863 patent") as being of particular relevance such that the claimed invention cannot be considered to involve an inventive step when the documents are combined with one or more other such documents, such combinations being obvious to a person skilled in the art. It is submitted that the portions of these documents cited by the Examiner no longer render the relevant claims obvious in light of the amendments described above.

Applicant believes that the enclosed amendments remove the references cited by the Examiner from being considered as particularly relevant, either taken alone or in combination with one or more such other documents.